



**ESTATE PLANNING  
CONFIDENTIAL INFORMATION SHEET**

Date: \_\_\_\_\_

Marital Status:    Single       Married       Divorced       Widow / Widower       Domestic Partner

Would you and your spouse/partner like to be jointly represented by this firm?    ☐ Y    ☐ N

	<b>Client # 1</b>	<b>Client # 2 (Spouse/Partner)</b>
Full Legal Name*		
Former/Other Name		
Social Security #		
Residence Address		
County of Residence		
Mailing Address		
Residence Phone		
Cell Phone		
Business Phone/Fax		
Email Address		
Birthdate		
Birthplace		
Citizenship		
Occupation		

**\*Please include your middle name, if any.**

1. Is there a physical possibility of more children? ☐ Y    ☐ N
2. Do you have a child with a learning disability? ☐ Y    ☐ N
3. Do you have adopted children? ☐ Y    ☐ N
4. Do any of your children have special education, medical, or physical needs? ☐ Y    ☐ N

Please indicate name(s): \_\_\_\_\_

5. Are any of your children institutionalized? ☐ Y    ☐ N





### LIST ALL CHILDREN

**Child 1:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_

\*\*\*\*\*

**Child 2:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_

\*\*\*\*\*

**Child 3:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_

\*\*\*\*\*





**Child 4:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_

\*\*\*\*\*

**Child 5:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_

\*\*\*\*\*

**Child 6:** \_\_\_\_\_ DOB: \_\_\_\_\_ SSN: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_ Year of Adoption: \_\_\_\_\_

If Child is from a former marriage, please indicate whether the parent is Client #1 \_\_\_\_ or Client #2 \_\_\_\_

Married:    Y    N    Spouse's Name: \_\_\_\_\_

Their children's names and ages: \_\_\_\_\_





Former Marriage(s)	Client # 1	Client # 2
<b>Former Spouse Name</b>		
Date of Marriage		
Date of Divorce		
Date of Death		
<b>Former Spouse Name</b>		
Date of Marriage		
Date of Divorce		
Date of Death		

Parents	Client # 1	Client # 2
Name		
Address		
Age		
Phone Number		
Email Address		
State of Health		
Financially Dependent?		

Expected Inheritances	Client # 1	Client # 2
From		
Approximate Value		
From		
Approximate Value		





**It is important to include all contact information requested. If the same person is indicated several times, it is only necessary to enter their full information once.**

**\*For couples, it is assumed that the first choice for all designations is your spouse/partner.\***

**Trustee** (to manage funds for minor children or to manage funds after death of spouse):

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**Personal Representative** (carries out the terms of your will):

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**Guardian** (to care for minor children):

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_





**Attorney-In-Fact** (to manage your financial affairs if you are unable):

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**Health Care Representative** (makes health care decisions when you are unable):

This section should be filled out if you are retaining our firm to draft an Advanced Directive on your behalf.

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**Person to make decisions regarding disposition of remains**

**1st Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_

**2nd Choice:** \_\_\_\_\_ Relationship: \_\_\_\_\_

Address: \_\_\_\_\_

Home: \_\_\_\_\_ Cell: \_\_\_\_\_ Work: \_\_\_\_\_

Email: \_\_\_\_\_





**Do you have a burial plan?**

☐ Y ☐ N

If so, please provide details: \_\_\_\_\_

**Do you want specific funeral arrangements?**

☐ Y ☐ N

If applicable, please specify: \_\_\_\_\_

\_\_\_\_\_





**Charitable Bequests.** Do you have any colleges, hospitals, religious organizations or other charities or non-profits that you wish to benefit in your estate plan? If so please provide the name, the dollar amount, or the percentage of your estate that you wish to leave these organizations.

Name of Organization	Address and TIN if known	Percentage or Dollar Amount

**Specific Gifts** (Monetary gifts or specific distributions - you wish to leave to individuals):

Name	Percentage or Dollar Amount		Relationship







**Residue of Estate** (list who is to receive estate after you have made your general, specific, and charitable gifts):

Name	Percentage or Dollar Amount	Relationship

It is common for clients to hold assets in trust for their beneficiaries. At what age or ages do you think your beneficiaries will be able to manage their inheritance?

Age: \_\_\_\_ Percentage \_\_\_\_

Age: \_\_\_\_ Percentage \_\_\_\_

Age: \_\_\_\_ Percentage \_\_\_\_

**Ultimate Beneficiaries** (in the event something should happen to you, your spouse, and your heirs, including children or grandchildren, persons or organizations that would receive the residue):

Name	Percentage or Dollar Amount	Relationship





### ***Important Family Questions:***

1. Do any of your family members receive governmental support or benefits? \_\_ Y \_\_ N
2. Are you or your spouse receiving social security, disability, or other governmental benefits? \_\_ Y \_\_ N
3. Do you provide primary or other major financial support to adult children? \_\_ Y \_\_ N
4. Are you making payments pursuant to a divorce or property settlement agreement? \_\_ Y \_\_ N
5. Have you and your spouse ever signed a pre-or post-marriage contract?  
*(Please furnish a copy)* \_\_ Y \_\_ N
6. Have you or your spouse been widowed?  
*(If a federal estate tax returns or a state death tax return was filed, please furnish a copy)* \_\_ Y \_\_ N
7. Have you and your spouse lived in any of the following community property states during marriage (please circle):  

Arizona  
Washington

New Mexico  
Louisiana

California  
Wisconsin

Texas  
Nevada

Idaho  
Alaska

During what periods of time did you reside there? \_\_\_\_\_
8. Have you or your spouse ever made a gift to an individual, in one year, in excess of the annual federal gift tax exclusion (currently \$17,000)? \_\_ Y \_\_ N
9. Have you or your spouse ever filed federal gift tax returns?  
*(Please furnish copies of these returns)* \_\_ Y \_\_ N
10. Have you or your spouse completed previous wills, trusts, powers of attorney or other estate planning arrangements?  
*(Please furnish copies of these documents)* \_\_ Y \_\_ N
11. Are both you and your spouse United States citizens? \_\_ Y \_\_ N
- 10a. If you answered "No", are either you or your spouse:  
    \_\_ A resident.  
    \_\_ Nonresident alien.

***Estate Summary:***

	<b>Client # 1</b>	<b>Client # 2</b>	<b>Joint</b>
Real Estate	\$	\$	\$
Bank Accounts	\$	\$	\$
Investments	\$	\$	\$
Retirement Accounts	\$	\$	\$
Business Interests	\$	\$	\$
Receivables	\$	\$	\$
Miscellaneous	\$	\$	\$
Life Insurance	\$	\$	\$
Other	\$	\$	\$
<b>Total</b>	\$	\$	\$

**Please provide us with current copies of all your banking, brokerage and investment accounts, statements, retirement, and life insurance policies. This documentation needs to include the name and address of the financial institution or insurer, your account representative or contact person.**

**ASSET INFORMATION:****Real Estate**

Address

**Ownership**

1 2 JT

Market  
Est. ValueBalance of  
MortgageNet  
Equity

_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	\$ _____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	\$ _____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	\$ _____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	\$ _____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	\$ _____	\$ _____

**Mortgages, Notes, Receivables:****Ownership**

1 2 JT

Date of Note

Amount Due

_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	\$ _____

**Cash Accounts:**

(Checking, Savings, Money Market, etc)

Name of Institution

**Ownership**

1 2 JT

**TYPE****Account #**  
(Last 4 digits)**Value**

_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____	\$ _____

**Safe Deposit Box:**

Name and Address of Institution:

**Ownership**

1 2 JT

Box Number: \_\_\_\_\_

Address: \_\_\_\_\_

_____
_____
_____

_____
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**Miscellaneous:**

(List only valuable personal effects such as jewelry, paintings, coin collections, stamp collections, etc.)

**Ownership**

1 2 JT

**Net Value**

_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____





**Investments:** (Stocks, Bonds, Mutual Funds, Brokerage Accounts, etc. If held in street name with Broker, just list the Brokerage Account)

	Ownership			Account # (Last 4 digits)	Value
	1	2	JT		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$

**Retirement Benefits (Including IRA's):**

Company: \_\_\_\_\_ Owner: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_

Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_

Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_

Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_

Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_

Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_



**Investments (continued from page 13 as needed):** (Stocks, Bonds, Mutual Funds, Brokerage Accounts, etc. If held in street name with Broker, just list the Brokerage Account)

	Ownership			Account # (Last 4 digits)	Value
	1	2	JT		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		\$

**Retirement Benefits (Including IRA's) (continued from Page 13, as needed):**

Company: \_\_\_\_\_ Owner: \_\_\_\_\_  
Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_  
Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_  
Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_  
Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_  
Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_  
Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_  
Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_  
Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_

\*\*\*\*\*

Company: \_\_\_\_\_ Owner: \_\_\_\_\_  
Type of Account: \_\_\_\_\_ Last 4 of Account No.: \_\_\_\_\_  
Value: \$ \_\_\_\_\_ Primary/Contingent Beneficiary: \_\_\_\_\_



***Homeowner's/Liability Insurance\****

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Address:\_\_\_\_\_

Work:\_\_\_\_\_ Cell:\_\_\_\_\_ Fax:\_\_\_\_\_

Email:\_\_\_\_\_

Property Covered:\_\_\_\_\_

\*\*\*\*\*

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Address:\_\_\_\_\_

Work:\_\_\_\_\_ Cell:\_\_\_\_\_ Fax:\_\_\_\_\_

Email:\_\_\_\_\_

Property Covered:\_\_\_\_\_

\*\*\*\*\*

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Address:\_\_\_\_\_

Work:\_\_\_\_\_ Cell:\_\_\_\_\_ Fax:\_\_\_\_\_

Email:\_\_\_\_\_

Property Covered:\_\_\_\_\_

**\*Do not include vehicle/auto insurance**





***Life Insurance/Annuities***

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Owner/Insured:\_\_\_\_\_

Policy Type:\_\_\_\_\_

Death Benefit:\_\_\_\_\_

Primary/Secondary Beneficiary:\_\_\_\_\_

\*\*\*\*\*

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Owner/Insured:\_\_\_\_\_

Policy Type:\_\_\_\_\_

Death Benefit:\_\_\_\_\_

Primary/Secondary Beneficiary:\_\_\_\_\_

\*\*\*\*\*

Insurance Company:\_\_\_\_\_ Policy Number:\_\_\_\_\_

Owner/Insured:\_\_\_\_\_

Policy Type:\_\_\_\_\_

Death Benefit:\_\_\_\_\_

Primary/Secondary Beneficiary:\_\_\_\_\_





**Business Interests:**

(For type use "C" for Corporation, "P" for Partnership, "LLC" for Limited Liability Company, "SP" for Sole Proprietorship)

Name of Business	1	2	JT	Type	% Interest	Value
				C P LLC SP		
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	_____	\$ _____
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	_____	\$ _____

**What is your approximate annual income from the business at this time?**

Client # 1 \$ \_\_\_\_\_

Client # 2 \$ \_\_\_\_\_

ADVISORS			
TITLE	NAME	ADDRESS	TELEPHONE
Attorney			
Accountant			
Financial Advisor			
Primary Personal Banker			
Life Insurance Agent			
Stock Broker			